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著者	TAKEGAHARA Yugen
journal or publication title	Communications in Algebra
volume	47
number	5
page range	1964-1972
year	2019-02-22
URL	<a href="http://hdl.handle.net/10258/00009918">http://hdl.handle.net/10258/00009918</a>

doi: info:doi/10.1080/00927872.2018.1527918

# The number of subgroups of a finite group (II)

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Let  $A$  be a finite group, and let  $p$  be a prime. Suppose that  $p^s$  is the highest power of  $p$  dividing  $|A/A'|$ , where  $A'$  is the commutator subgroup of  $A$ , and that the type  $\lambda = (\lambda_1, \lambda_2, \dots)$  with  $\lambda_1 \geq \lambda_2 \geq \dots$  of a Sylow  $p$ -subgroup of  $A/A'$  satisfies either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2$  and  $\lambda_3 = 0$ . Let  $m_A(d)$  denote the number of subgroups of index  $d$  in  $A$ . If  $1 \leq i \leq [(s+1)/2]$  and  $q$  is a positive integer such that  $\gcd(p, q) = 1$ , then  $m_A(qp^{i-1}) - m_A(qp^i)$  is a multiple of  $p^i$  and  $m_A(qp^{[(s+1)/2]}) - m_A(qp^{[(s+1)/2]+1})$  is a multiple of  $p^{[s/2]}$ .

## 1. Introduction

For a finite group  $A$ ,  $m_A(d)$  denotes the number of subgroups of index  $d$  in  $A$ . For a real number  $x$ ,  $[x]$  denotes the largest integer not exceeding  $x$ . Let  $p$  be a prime. A finite group  $A$  is said to admit  $\mathbf{C}(p^s)$ , where  $s$  is a nonnegative integer, if the following conditions hold for any positive integer  $q$  such that  $\gcd(p, q) = 1$ :

$$(C1) \quad m_A(qp^{i-1}) \equiv m_A(qp^i) \pmod{p^i} \text{ with } i = 1, 2, \dots, [(s+1)/2].$$

$$(C2) \quad m_A(qp^{[(s+1)/2]}) \equiv m_A(qp^{[(s+1)/2]+1}) \pmod{p^{[s/2]}}.$$

A finite group  $A$  is said to admit  $\mathbf{CP}(p^s)$  if these conditions hold for  $q = 1$ .

Any finite abelian  $p$ -group  $P$  admits  $\mathbf{CP}(|P|)$  (cf. [4, Note], [7, Theorem 2.1]). Hence we obtain the half  $p$ -adic property of an arbitrary finite abelian group:

**THEOREM 1.1** *Any finite abelian group  $A$  admits  $\mathbf{C}(|A|_p)$ , where  $|A|_p$  is the highest power of  $p$  dividing  $|A|$ .*

The following theorem is due to P. Hall [6, Theorem 1.61] and is also a consequence of [8, Lemma 2.2].

**THEOREM 1.2** *Let  $P$  be a finite  $p$ -group such that  $p^s = |P : \Phi(P)|$ , where  $\Phi(P)$  denotes the Frattini subgroup of  $P$ . Then for any integer  $i$  with  $0 \leq i \leq s+1$ ,*

$$m_P(p^i) \equiv m_{P/\Phi(P)}(p^i) \pmod{p^{s-i+1}}.$$

2000 *Mathematics Subject Classification*: Primary 20B05; Secondary 20D15, 20D40, 20E07.  
*Keyword* : finite  $p$ -group; complement; semidirect product; subgroup; homomorphism.

Combining this theorem with Theorem 1.1, we know that any finite  $p$ -group  $P$  admits  $\mathbf{CP}(|P/\Phi(P)|)$ . A generalization of this fact is [8, Theorem 1.1]:

**THEOREM 1.3** *Let  $A$  be a finite group. Then  $A$  admits  $\mathbf{C}(|A/A' : \Phi(A/A')|_p)$ , where  $A'$  denotes the commutator subgroup of  $A$ .*

Another generalization of a property of finite abelian groups is [8, Theorem 1.2]:

**THEOREM 1.4** *Let  $A$  be a finite group, and let  $p^r$  be the exponent of a Sylow  $p$ -subgroup of  $A/A'$ . If  $i$  is a positive integer less than or equal to  $r$ , then*

$$m_A(qp^{i-1}) \equiv m_A(qp^i) \pmod{p^i}$$

for any positive integer  $q$  such that  $\gcd(p, q) = 1$ .

**COROLLARY 1.5** *Under the assumptions of Theorem 1.4, if  $r \geq [(s+1)/2] + 1$ , then  $A$  admits  $\mathbf{C}(p^s)$ .*

A sequence  $\lambda = (\lambda_1, \lambda_2, \dots)$  of nonnegative integers in weakly decreasing order which contains only finitely many non-zero terms is called the type of a finite abelian  $p$ -group isomorphic to the direct product of cyclic  $p$ -groups of order  $p^{\lambda_1}, p^{\lambda_2}, \dots$

The purpose of this paper is to establish a refinement of Theorem 1.3:

**THEOREM 1.6** *Let  $A$  be a finite group, and let  $\lambda = (\lambda_1, \lambda_2, \dots)$  be the type of a Sylow  $p$ -subgroup of  $A/A'$ . If either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2$  and  $\lambda_3 = 0$ , then  $A$  admits  $\mathbf{C}(|A/A'|_p)$ . If  $\lambda_2 = 2$ ,  $\lambda_3 = 1$ , and  $\lambda_1 \geq \lambda_2 + \lambda_3 + \dots$ , then  $A$  admits  $\mathbf{CP}(|A/A'|_p)$ .*

For a finite group  $C$  and for a finite group  $H$  on which  $C$  acts, let  $Z(C, H)$  be the set of complements of  $H$  in the semidirect product  $CH$  of  $H$  by  $C$ .

While the following theorem is of little use in our argument, certain methods for its proof adapt successfully to the proof of Theorem 1.6.

**THEOREM 1.7** ([1, 2, 3]) *Let  $C$  be a finite abelian  $p$ -group of type  $\lambda = (\lambda_1, \lambda_2, \dots)$ , and suppose that either  $\lambda_2 \leq 1$  or  $p > 2$ ,  $\lambda_2 = 2$ , and  $\lambda_3 = 0$ . Then for any finite  $p$ -group  $H$  on which  $C$  acts,  $\#Z(C, H)$  is a multiple of  $\gcd(|C|, |H|)$ .*

For a finite group  $A$ , let  $\text{Hom}(A, G)$  be the set of homomorphisms from  $A$  to a group  $G$ , and set  $h_n(A) = \#\text{Hom}(A, S_n)$ , where  $S_n$  is the symmetric group of degree  $n$ . If a finite group  $A$  admits  $\mathbf{C}(p^s)$ , then by [7, Theorem 1.2],  $h_n(A)$  is a multiple of  $\gcd(p^s, n!)$ . This fact, together with Theorem 1.1, means that, if  $A$  is a finite abelian group, then  $h_n(A)$  is a multiple of  $\gcd(|A|, n!)$ . In general, Yoshida [9] proved that, if  $A$  is a finite abelian group, then for any finite group  $G$ ,  $\#\text{Hom}(A, G)$  is a multiple of  $\gcd(|A|, |G|)$ . If  $A$  is cyclic, then this fact is due to Frobenius [5]. By an argument analogous to the proof of [2, Theorem D], Theorem 1.7 implies that, if a Sylow  $p$ -subgroup of the abelianization  $A/A'$  of a finite group  $A$  is isomorphic to  $C$  given in Theorem 1.7, then for any finite group  $G$ ,  $\#\text{Hom}(A, G)$  is a multiple of  $\gcd(|A/A'|_p, |G|)$ . In this context, we state a corollary to Theorem 1.6:

**COROLLARY 1.8** *Let  $A$  be a finite group such that the type of a Sylow  $p$ -subgroup of  $A/A'$  is  $\lambda = (\lambda_1, \lambda_2, \dots)$ . If either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2$  and  $\lambda_3 = 0$ , then  $h_n(A)$  is a multiple of  $\gcd(|A/A'|_p, n!)$ .*

**Notation** The notation is standard. Let  $G$  be a finite group. We denote by  $|G|$  the order of  $G$ , and denote by  $\exp G$  the exponent of  $G$ , that is, the least common multiple of the orders of the elements of  $G$ . The center of  $G$  is denoted by  $Z(G)$ . For  $x_1, \dots, x_n \in G$ ,  $\langle x_1, \dots, x_n \rangle$  denotes the subgroup generated by  $x_1, \dots, x_n$ . Given  $x, y \in G$ , we set  $x^y = y^{-1}xy$  and  $[x, y] = x^{-1}y^{-1}xy$ . Let  $H$  and  $K$  be subgroups of  $G$ . We denote by  $H \times K$  the direct product of  $H$  and  $K$ . The commutator subgroup of  $H$  and  $K$  is denoted by  $[H, K]$ . We denote by  $N_G(K)$  and  $C_G(K)$  the normalizer and the centralizer of  $K$  in  $G$ , respectively, and set  $N_H(K) = N_G(K) \cap H$  and  $C_H(K) = C_G(K) \cap H$ . Suppose that  $K \subseteq H$ . We write  $K \leq H$ , and denote by  $H/K$  the set of left cosets. The index of  $K$  in  $H$  is denoted by  $|H : K|$ .

## 2. Preliminaries

Let  $A$  be a finite group and  $B$  a normal subgroup such that  $A/B$  is a finite abelian  $p$ -group of order  $p^s$ . We denote by  $M$  a normal subgroup of  $A$  containing  $B$  such that  $A/B = \langle \sigma \rangle B/B \times M/B$  with  $\sigma \in A$ . Let  $i$  be a positive integer.

**DEFINITION 2.1** For any  $R \leq N \leq A$ , we define  $\mathcal{M}_A(N, R; p^i)$  to be the set of all subgroups  $C$  of index  $p^i$  in  $A$  such that  $C \cap N = R$ .

**LEMMA 2.2** *Let  $R$  be a subgroup of index  $p$  in  $B$ . Assume that  $A = N_A(R)$  and  $M/R$  is abelian. If  $|A/M| = p^{\lfloor (s+1)/2 \rfloor}$ , then*

$$\#\mathcal{M}_A(B, R; p^{\lfloor (s+1)/2 \rfloor}) \equiv \#\mathcal{M}_A(B, R; p^{\lfloor (s+1)/2 \rfloor + 1}) \pmod{p^{\lfloor s/2 \rfloor}}.$$

*Proof.* Suppose that  $|A/M| = p^{\lfloor (s+1)/2 \rfloor}$ . By the assumption,  $A/M$  is cyclic. Hence it follows from [3, Proposition 3.3] that for any subgroup  $C$  of  $A$  with  $A = CM$  and  $C \cap B = R$ ,  $\#\mathcal{Z}(C/(C \cap M), M/(C \cap M))$  is a multiple of  $\gcd(p^{\lfloor (s+1)/2 \rfloor}, |A/C|)$ , where  $C/(C \cap M)$  acts on  $M/(C \cap M)$  by conjugation. In particular, the number of subgroups  $C$  of index  $p^{\lfloor (s+1)/2 \rfloor}$  or  $p^{\lfloor (s+1)/2 \rfloor + 1}$  in  $A$  with  $A = CM$  and  $C \cap B = R$  is a multiple of  $p^{\lfloor (s+1)/2 \rfloor}$ . Given a proper subgroup  $C$  of index  $p^i$  in  $A$ ,  $A \neq CM$  if and only if  $CM \leq \langle \sigma^p \rangle M$  and  $|\langle \sigma^p \rangle M : C| = p^{i-1}$ , because  $A/B = \langle \sigma \rangle B/B \times M/B$ . Hence it suffices to verify that

$$\#\mathcal{M}_{\langle \sigma^p \rangle M}(B, R; p^{\lfloor (s+1)/2 \rfloor - 1}) \equiv \#\mathcal{M}_{\langle \sigma^p \rangle M}(B, R; p^{\lfloor (s+1)/2 \rfloor}) \pmod{p^{\lfloor s/2 \rfloor}}. \quad (1)$$

Clearly, for any nonnegative integer  $i$ ,

$$\#\mathcal{M}_{\langle \sigma^p \rangle M}(B, R; p^i) = m_{\langle \sigma^p \rangle M/R}(p^i) - m_{\langle \sigma^p \rangle M/B}(p^i).$$

By the assumption,  $\langle \sigma^p \rangle M/B$  is a finite abelian group of order  $p^{s-1}$ . Obviously,  $[(s+1)/2] = [s/2]$  if  $s$  is even, and  $[(s+1)/2] = [s/2] + 1$  if  $s$  is odd. This, combined with Theorem 1.1, yields

$$m_{\langle \sigma^p \rangle M/B}(p^{[(s+1)/2]-1}) \equiv m_{\langle \sigma^p \rangle M/B}(p^{[(s+1)/2]}) \pmod{p^{[s/2]}}.$$

Since  $[A/R, A/R] \leq B/R \leq Z(A/R)$  and  $|B/R| = p$ , it follows that for any  $x \in M$ ,

$$[\sigma^p, x]R = [\sigma^{p-1}, x]^\sigma \cdot [\sigma, x]R = [\sigma^{p-1}, x] \cdot [\sigma, x]R = \cdots = [\sigma, x]^p R = R.$$

Thus  $\sigma^p R \in Z(A/R)$ , and hence  $\langle \sigma^p \rangle M/R$  is a finite abelian group of order  $p^s$ . From Theorem 1.1, we know that

$$m_{\langle \sigma^p \rangle M/R}(p^{[(s+1)/2]-1}) \equiv m_{\langle \sigma^p \rangle M/R}(p^{[(s+1)/2]}) \pmod{p^{[(s+1)/2]}}.$$

Consequently, Eq. (1) holds. This completes the proof.  $\square$

Let  $I^p(M)$  denote the set of all subgroups of  $M$  whose indices are powers of  $p$ .

LEMMA 2.3 *Let  $K_0 \in I^p(M)$ , and suppose that the following conditions are satisfied.*

- (i)  $p^{i+1} \leq p^i \cdot |N_B(K_0) : K_0 \cap B| \leq |A : K_0|$ .
- (ii) *Either  $p^i \cdot |N_M(K_0) : K_0| \leq |A : K_0|$  or  $p^i \exp N_B(K_0)/(K_0 \cap B) < |A : K_0|$ .*
- (iii)  $p^i \exp N_M(K_0)/K_0 \leq |A : K_0|$ .

Then

$$\sum_{K \sim_A K_0} \{ \#\mathcal{M}_A(M, K; p^{i-1}) - \#\mathcal{M}_A(M, K; p^i) \} \equiv 0 \pmod{p^i}, \quad (2)$$

where the summation runs over all conjugates  $K$  of  $K_0$  in  $A$ .

*Proof.* We may assume that  $\mathcal{M}_A(M, K_0; p^i) \neq \emptyset$ . Let  $C \in \mathcal{M}_A(M, K_0; p^i)$ . Then  $|C/K_0| = p^{-i} \cdot |A : K_0|$ . Set  $L = N_A(K_0)$  and  $H = N_M(K_0)$ . Since  $L/H$  is cyclic, it follows that  $C/K_0$  is a cyclic subgroup of  $L/K_0$  which acts on  $H/K_0$  by conjugation. Note that  $|C/K_0| \geq p$ ,  $N_B(K_0)/(K_0 \cap B) \simeq K_0 N_B(K_0)/K_0 \leq H/K_0$ , and  $|H/K_0| \geq p$ . Set  $G = CH/K_0$  and  $C_2(G) = [G, G]$ . Since  $L/N_B(K_0)$  is abelian, it follows that  $C_2(G) \leq K_0 N_B(K_0)/K_0$ . Hence (i) yields  $|C_2(G)| \leq |C/K_0|$ . We define inductively  $C_j(G) = [C_{j-1}(G), G]$  for each integer  $j \geq 3$ , so that  $|C_j(G)| < |C_{j-1}(G)|$  if  $|C_{j-1}(G)| > 1$ . Set  $p^u = |C/K_0|$ . For each integer  $j$  with  $3 \leq j \leq u+2$ ,  $\exp C_j(G) \leq |C_j(G)| \leq p^{u+2-j}$ , because  $|C_2(G)| \leq p^u$ . By [2, Lemma 2.5],  $C_2(G)$  is a proper subgroup of  $H/K_0$ . Thus (ii) yields  $\exp C_2(G) < p^u$ . Since  $\exp H/K_0 \leq p^u$  by (iii), it follows from [2, Lemma 2.7] that

$$\#\mathcal{M}_A(M, K_0; p^i) = \#\mathcal{Z}(C/K_0, H/K_0) = |H/K_0|.$$

Likewise, if  $\mathcal{M}_A(M, K_0; p^{i-1}) \neq \emptyset$ , then  $\#\mathcal{M}_A(M, K; p^{i-1}) = |H/K_0|$ . On the other hand, if  $\mathcal{M}_A(M, K_0; p^{i-1}) = \emptyset$ , then  $L = CH$  by [8, Proposition 2.2], which yields

$$\sum_{K \sim_A K_0} \#\mathcal{M}_A(M, K; p^i) = |A : L| \cdot |H : K_0| = |A : CH| \cdot |CH : C| = p^i.$$

In either case, Eq. (2) holds. This completes the proof.  $\square$

**LEMMA 2.4** *Let  $K \in I^p(M)$ , and set  $R = K \cap B$ . Let  $C \in \mathcal{M}_A(M, K; p^i)$ . Suppose that either  $\exp M/B \leq |C/K|$  or  $M/B = KB/B \times N/B$  for some subgroup  $N$  of  $M$  with  $\exp N/B \leq |C/K|$ . Then there exists a subgroup  $F$  of  $C$  such that  $C/R = F/R \times K/R$  and  $F/R$  is cyclic.*

*Proof.* Set  $p^u = p^{-i} \cdot |A : K|$ . Then  $C/K$  is a cyclic group of order  $p^u$ . Choose  $c \in C$  so that  $C/K = \langle c \rangle K/K$ , and recall that  $A/B = \langle \sigma \rangle B/B \times M/B$ . We may assume that  $c \in \sigma^{p^e} M$  for some nonnegative integer  $e$ . Hence  $c = \sigma^{p^e} x$  for some  $x \in M$ . Observe that  $c^{p^u} B = \sigma^{p^{e+u}} x^{p^u} B$  and  $c^{p^u} x^{-p^u} B = \sigma^{p^{e+u}} B \leq \langle \sigma \rangle B \cap M = B$ . Thus, if  $\exp M/B \leq p^u$ , then  $c^{p^u} \in B$ , and hence  $C/R = \langle c \rangle R/R \times K/R$ . Now let  $N$  be a subgroup of  $M$  containing  $B$  with  $\exp N/B \leq p^u$ , and suppose that  $M/B = KB/B \times N/B$ . Since  $c^{p^u} x^{-p^u} \in B$ , it follows that  $c^{p^u} B = x^{p^u} B = y^{p^u} B$  for some  $y \in K$ . Consequently,  $C/R = \langle cy^{-1} \rangle R/R \times K/R$ . This completes the proof.  $\square$

**DEFINITION 2.5** For any  $K \in I^p(M)$ , we define  $\mathcal{M}_A(M, B, K; p^i)$  to be the set of all subgroups  $C$  of index  $p^i$  in  $A$  such that  $C \cap B = K \cap B$ ,  $N_M(C \cap M) = N_M(K)$ , and  $(C \cap M)N_B(C \cap M) = KN_B(K)$ . Given  $K \in I^p(M)$  and  $C \in \mathcal{M}_A(M, B, K; p^i)$ , we define  $\mathcal{M}_A(M, B, K, C; p^i)$  to be the set consisting of all  $D \in \mathcal{M}_A(M, B, K; p^i)$  such that  $DN_B(K) = CN_B(K)$ .

**REMARK 2.6** For any  $K \in I^p(M)$ , there exist  $C_j \in \mathcal{M}_A(M, B, K; p^i)$ ,  $j = 1, 2, \dots$ , such that  $\mathcal{M}_A(M, B, K; p^i)$  is a disjoint union of  $\mathcal{M}_A(M, B, C_j \cap M, C_j; p^i)$ ,  $j = 1, 2, \dots$

**LEMMA 2.7** *Let  $K \in I^p(M)$ , and set  $R = K \cap B$ . Let  $C \in \mathcal{M}_A(M, K; p^i)$ , and suppose that there exists a subgroup  $F$  of  $C$  such that  $C/R = F/R \times K/R$  and  $F/R$  is cyclic. If  $N_B(K) \neq R$ , then*

$$\#\mathcal{M}_A(M, B, K, C; p^i) \equiv 0 \pmod{\gcd(p^{-i} \cdot |A : K|, |N_B(K) : R|) \cdot |K/R : \Phi(K/R)|}.$$

*Proof.* Suppose that  $N_B(K) \neq R$ . Set  $G = CN_B(K)/R$ ,  $C_1(G) = N_B(K)/R$ , and  $C_2(G) = [G, G]$ . We define inductively  $C_j(G) = [C_{j-1}(G), G]$  for each integer  $j \geq 3$ . Set  $p^u = p^{-i} \cdot |A : K|$ , and observe that  $p^u = |F/R|$ . If  $u \geq 1$ , then we define a subgroup  $Q$  of  $N_B(K)$  containing  $R$  to be

$$Q/R = \begin{cases} N_B(K)/R & \text{if } |N_B(K) : R| \leq p^{u-1}, \\ \Omega_u(C_j(G)) & \text{if } p^{u-1} < |C_j(G)| \text{ and } |C_{j+1}(G)| \leq p^{u-1}, \end{cases}$$

where  $\Omega_u(C_j(G))$  is the subgroup of  $C_j(G)$  generated by all elements of order at most  $p^u$ . (In [2, Definition 2.6],  $Q/R$  is denoted by  $Q_u(CN_B(K)/R)$ .) If  $u = 0$ , then we set  $Q = R$ . By [2, Proposition 2.8],  $|Q/R| \geq \gcd(p^u, |N_B(K) : R|)$  and  $|\langle x_0g \rangle R/R| = p^u$  for any  $g \in Q$  and  $x_0R \in CN_B(K)/R$  with  $|\langle x_0 \rangle R/R| = p^u$  and  $\langle x_0 \rangle R \cap N_B(K) = R$ . We have  $[Q/R, (D \cap M)/R] = 1$  for each  $D \in \mathcal{M}_A(M, B, K; p^i)$ , because

$$Q/R \leq N_B(K)/R = N_B(D \cap M)/R = C_{B/R}((D \cap M)/R).$$

There exists an element  $z$  of  $N_B(K)$  such that  $zR \in Z(N_A(R)/R) \cap N_B(K)/R$  and  $|\langle z \rangle R/R| = p$ . Now let  $S$  be the direct product of  $Q/R$  and an elementary abelian  $p$ -group  $\langle g_1 \rangle \times \langle g_2 \rangle \times \cdots \times \langle g_k \rangle$ , where  $p^k = |K/R : \Phi(K/R)|$ , and define a monomorphism  $\varphi$  from  $S$  to the symmetric group on the set  $\mathcal{M}_A(M, B, K, C; p^i)$  by

$$(\langle x_0, x_1, \dots, x_k \rangle R)^{\varphi(gR, g_1^{e_1}, \dots, g_k^{e_k})} = \langle x_0g, x_1z^{e_1}, \dots, x_kz^{e_k} \rangle R$$

where  $\langle x_0, x_1, \dots, x_k \rangle R \in \mathcal{M}_A(M, B, K, C; p^i)$  such that  $FN_B(K) = \langle x_0 \rangle N_B(K)$  and  $\langle x_1, \dots, x_k \rangle N_B(K) = KN_B(K)$ . Then  $S$  acts on  $\mathcal{M}_A(M, B, K, C; p^i)$  via the action  $\varphi$ . Since this action is semiregular (see [2, Lemma 3.1]), we conclude that

$$\#\mathcal{M}_A(M, B, K, C; p^i) \equiv 0 \pmod{|Q/R| \cdot |K/R : \Phi(K/R)|}.$$

This completes the proof.  $\square$

REMARK 2.8 Let  $K \in I^p(M)$ , and set  $R = K \cap B$ . If  $N_B(K) \neq R$ , then by an argument analogous to the proof of Lemma 2.7, we have

$$\#\mathcal{M}_A(M, B, K; p^i) \equiv 0 \pmod{|K/R : \Phi(K/R)|}. \quad (3)$$

We need one more lemma.

LEMMA 2.9 *Let  $K$  and  $T$  be subgroups of  $M$  such that  $R := K \cap B = T \cap B \in I^p(M)$ ,  $N_M(K) = N_M(T)$ , and  $KN_B(K) = TN_B(T)$ . Set  $p^k = \exp N_M(K)/K$ . Assume that  $\exp M/B \leq p^2$ . Then either  $p^k \leq p \exp N_B(T)/R$  or  $p^k \leq \exp N_M(T)/T$ .*

*Proof.* By the assumption,

$$KN_B(K)/R = K/R \times N_B(K)/R = T/R \times N_B(T)/R = TN_B(T)/R.$$

Choose  $x \in N_M(K)$  so that  $|\langle x \rangle K/K| = p^k$ . If  $|\langle x \rangle KN_B(K)/KN_B(K)| \leq p$ , then  $p^k \leq p \exp N_B(T)/R$ . If  $|\langle x \rangle KN_B(K)/KN_B(K)| = p^2$ , then  $x^{p^2} \in N_B(K) = N_B(T)$  and  $|\langle x \rangle T/T| = p^k$ . Hence we have  $p^k \leq \exp N_M(T)/T$ . This completes the proof.  $\square$

### 3. The proof of Theorem 1.6

Recall that  $|A/B| = p^s$ . Let  $\lambda = (\lambda_1, \lambda_2, \dots)$  be the type of  $A/B$ . We show that, if either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2$  and  $\lambda_3 = 0$ , then  $A$  admits  $\mathbf{C}(p^s)$  and that, if  $\lambda_2 = 2$ ,  $\lambda_3 = 1$ , and  $\lambda_1 \geq \lambda_2 + \lambda_3 + \dots$ , then  $A$  admits  $\mathbf{CP}(p^s)$ .

The proof of the following proposition is analogous to that of [8, Theorem 1.1].

**PROPOSITION 3.1** *Assume that every subgroup  $C$  of  $A$  admits  $\mathbf{CP}(|C/(C \cap B)|)$ . Then  $A$  admits  $\mathbf{C}(p^s)$ .*

*Proof.* Suppose that  $i \leq [(s+1)/2]$ , and let  $q$  be a positive integer such that  $\gcd(p, q) = 1$ . In the statement of [8, Proposition 3.2], we may remove the assumption that  $A/B$  is elementary abelian, if we assume that every subgroup  $C$  of  $A$  admits  $\mathbf{CP}(|C/(C \cap B)|)$ . Hence the statements (1) and (2) of [8, Proposition 3.2] hold under the assumption of this proposition. In particular,

$$m_A(qp^{i-1}) - m_A(qp^i) \equiv \sum_{C \in \mathcal{M}_A(q)} \nu_i^i(C) \pmod{p^i}, \quad (4)$$

where  $\mathcal{M}_A(q)$  is the set of all subgroups of index  $q$  in  $A$  and  $\nu_i^i(C)$  are integers determined by  $C \in \mathcal{M}_A(q)$  (see [8, Definition 3.1]). Let  $C \in \mathcal{M}_A(q)$ . Then  $C/(C \cap B) \simeq A/B$ . By the above congruence with  $A = C$  and  $q = 1$ , we have

$$m_C(p^{i-1}) - m_C(p^i) \equiv \nu_i^i(C) \pmod{p^i}.$$

Hence the assumption implies that  $\nu_i^i(C) \equiv 0 \pmod{p^i}$ . This, combined with Eq. (4), yields (C1). Likewise, (C2) holds. We have thus proved the proposition.  $\square$

By Proposition 3.1, it suffices to verify that, if either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2 > \lambda_3$  and  $\lambda_1 \geq \lambda_2 + \lambda_3 + \dots$ , then  $A$  admits  $\mathbf{CP}(p^s)$  (see the end of this section). We owe the first half of the proof to [8, Proposition 2.1]:

**PROPOSITION 3.2** *Let  $R_0$  be a subgroup of  $B$  with  $N_B(R_0) = R_0$ . If  $i \leq [(s+1)/2]$ , then*

$$\sum_{R \sim_A R_0} \{ \#\mathcal{M}_A(B, R; p^{i-1}) - \#\mathcal{M}_A(B, R; p^i) \} \equiv 0 \pmod{p^i}.$$

Moreover,

$$\sum_{R \sim_A R_0} \left\{ \#\mathcal{M}_A(B, R; p^{[(s+1)/2]}) - \#\mathcal{M}_A(B, R; p^{[(s+1)/2]+1}) \right\} \equiv 0 \pmod{p^{[s/2]}}.$$

The following proposition completes the second half of the proof.



PROPOSITION 3.3 *Assume that either  $\lambda_2 \leq 1$  or  $\lambda_1 = [(s+1)/2]$  and  $\lambda_2 = 2 > \lambda_3$ . Let  $\widetilde{\mathcal{M}}_A(B; p^i)$  be the set of all subgroups  $C$  of index  $p^i$  in  $A$  with  $N_B(C \cap B) \neq C \cap B$ . Then*

$$\#\widetilde{\mathcal{M}}_A(B; p^{[(s+1)/2]}) \equiv \#\widetilde{\mathcal{M}}_A(B; p^{[(s+1)/2]+1}) \pmod{p^{[s/2]}}.$$

Moreover, if  $i \leq [(s+1)/2]$  and  $\lambda_2 \leq 1$ , then

$$\#\widetilde{\mathcal{M}}_A(B; p^{i-1}) \equiv \#\widetilde{\mathcal{M}}_A(B; p^i) \pmod{p^i}.$$

*Proof.* For each  $K \in I^p(M)$  with  $N_B(K \cap B) \neq K \cap B$ , we have

$$KN_B(K)/(K \cap B) = N_{KN_B(K \cap B)/(K \cap B)}(K/(K \cap B)) \neq K/(K \cap B),$$

whence  $N_B(K) \neq K \cap B$ . Suppose that  $1 \leq i \leq [(s+1)/2] + 1$ . Let  $\mathcal{X}$  be the set of all  $K \in I^p(M)$  with  $N_B(K \cap B) \neq K \cap B$  and  $\mathcal{Y}$  the set consisting of all  $K \in \mathcal{X}$  which satisfy the following conditions.

- (i)  $p^i \cdot |N_B(K) : K \cap B| \leq |A : K|$ .
- (ii) Either  $p^i \cdot |N_M(K) : K| \leq |A : K|$  or  $p^i \exp N_B(K)/(K \cap B) < |A : K|$ .
- (iii)  $p^i \exp N_M(K)/K \leq |A : K|$ .

Obviously, both  $\mathcal{X}$  and  $\mathcal{Y}$  are closed under conjugation. Given  $K_0 \in \mathcal{Y}$ , it follows from Lemma 2.3 that

$$\sum_{K \sim_A K_0} \{\#\mathcal{M}_A(M, K; p^{i-1}) - \#\mathcal{M}_A(M, K; p^i)\} \equiv 0 \pmod{p^i}.$$

Assume that  $M/B$  is of type  $(\lambda_2, \lambda_3, \dots)$ . If  $\lambda_1 = [(s+1)/2]$ , then by Lemma 2.2,

$$\#\mathcal{M}_A(B, R; p^{[(s+1)/2]}) \equiv \#\mathcal{M}_A(B, R; p^{[(s+1)/2]+1}) \pmod{p^{[s/2]}}$$

for any subgroup  $R$  of index  $p$  in  $B$  such that  $A = N_A(R)$  and  $M/R$  is abelian. For each  $K \in \mathcal{X} - \mathcal{Y}$  with  $|A : K| \geq p^{i-1}$ , we consider the two conditions

$$\#\mathcal{M}_A(M, B, K; p^{i-1}) \equiv \#\mathcal{M}_A(M, B, K; p^i) \equiv 0 \pmod{p^{s-i+1}} \quad (5)$$

and

$$\sum_{aN_A(R) \in A/N_A(R)} \left\{ \#\mathcal{M}_A(M, B, {}^aK; p^{[(s+1)/2]}) - \#\mathcal{M}_A(M, B, {}^aK; p^{[(s+1)/2]+1}) \right\} \equiv 0 \pmod{p^{[s/2]}} \quad (6)$$

where  $R = K \cap B$ . (Note that  $s = [s/2] + [(s+1)/2]$ .) Given  $K \in \mathcal{X}$  and  $C \in \mathcal{M}_A(M, B, K; p^i)$ , it follows from Lemma 2.9 that  $K \in \mathcal{Y}$  if and only if  $C \cap M \in \mathcal{Y}$ . Hence it suffices to verify that for any  $K \in \mathcal{X} - \mathcal{Y}$  with  $|A : K| \geq p^{i-1}$ , Eq. (5)

holds if  $\lambda_2 \leq 1$ , and either Eq. (5) or Eq. (6) holds if  $\lambda_1 = [(s+1)/2]$ ,  $\lambda_2 = 2 > \lambda_3$ ,  $i = [(s+1)/2] + 1$ , and  $R (= K \cap B)$  does not satisfy the assumptions of Lemma 2.2.

Suppose that  $K \in \mathcal{X} - \mathcal{Y}$  with  $|A : K| \geq p^{i-1}$ , and set  $R = K \cap B$ . We complete the proof by three steps.

**Step 1.** We first assume that  $|A : K| = p^{i-1}$ . Obviously,  $\mathcal{M}_A(M, B, K; p^i) = \emptyset$ . By the assumption, we have  $|N_B(K) : R| \geq p > p^{-i+1}|A : K|$ . Moreover,

$$|A : K| \cdot |K/R : \Phi(K/R)| \geq p^{-1} \cdot |A : R| \geq p^s.$$

Hence it follows from Lemma 2.7 that  $\#\mathcal{M}_A(M, B, K; p^{i-1}) \equiv 0 \pmod{p^{s-i+1}}$ .

**Step 2.** We next assume that  $p^i \cdot |N_B(K) : R| \geq |A : K| \geq p^i$  and one of the following conditions are satisfied.

- (i)  $|B : R| \geq p^2$ .
- (ii) Either  $|A : K| = p^i$  and  $\exp K/R \leq p$  or  $|A : K| = p^{i+1}$  and  $\exp M/B \leq p$ .

By the assumption,  $|A : K| \cdot |K/R : \Phi(K/R)| \geq p^{s+1}$ . Hence, if  $|A : K| = p^i$ , then Eq. (5) follows from Eq. (3). Suppose now that  $|A : K| \geq p^{i+1}$ . If  $|B : R| \geq p^2$ ,  $\exp K/R \leq p$ , and  $|A : K| = p^{i+1}$ , then

$$p^{i+1} \cdot |K/R : \Phi(K/R)| = |A : K| \cdot |K/R : \Phi(K/R)| = |A : R| \geq p^{s+2},$$

and hence Eq. (5) follows from Eq. (3). Excepting the case where  $|B : R| \geq p^2$ ,  $\exp K/R \leq p$ , and  $|A : K| = p^{i+1}$ , Eq. (5) follows from Lemmas 2.4 and 2.7. Thus Eq. (5) holds in any case.

**Step 3.** In the situation apart from the assumptions for Steps 1 and 2, the remaining cases are as follows.

- (a)  $p^i = p^{i-1} \cdot |B : R| = |A : K|$  and  $\exp K/R = p^2$ .
- (b)  $p^{i+1} = p^i \cdot |B : R| = |A : K| \leq p^{i-1} \cdot |N_M(K) : K|$  and  $\exp M/B = p^2$ .
- (c)  $p^{i+1} \cdot |N_B(K) : R| \leq |A : K| \leq p^{i-1} \exp N_M(K)/K$ .

(In the cases (a), (b), and (c), we assume that  $|A : K| = p^i$ ,  $|A : K| = p^{i+1}$ , and  $|A : K| \geq p^{i+2}$ , respectively. By the hypothesis,  $K \in \mathcal{X} - \mathcal{Y}$ , which is reflected in the conditions.) Obviously,  $\exp N_M(K)/K \leq p^2 \exp N_B(K)/R$ . If either  $\exp M/B \leq p$  or  $\exp K/R = p^2$ , then  $x^p \in KN_B(K)$  for any  $x \in N_M(K)$ , which implies that  $\exp N_M(K)/K \leq p \exp N_B(K)/R$ . Hence the case (c) is rewritten as

- (c)'  $p^{i+1} \cdot |N_B(K) : R| = |A : K| = p^{i-1} \exp N_M(K)/K$ ,  $\exp M/B = p^2$ , and  $\exp K/R \leq p$ .

In this case, if  $|B : R| \geq p^2$ , then Lemmas 2.4 and 2.7 yield Eq. (5). Hence we may restrict the case (c) to the following.

- (d)  $p^{i+2} = p^{i+1} \cdot |B : R| = |A : K| = p^{i-1} \exp N_M(K)/K$ ,  $\exp M/B = p^2$ , and  $\exp K/R \leq p$ .

Note that  $\exp M/B = p^2$  in the cases (a), (b), and (d). Hence Eq. (5) already holds in any case if  $\lambda_2 \leq 1$ . We assume that  $\lambda_1 = [(s+1)/2]$ ,  $\lambda_2 = 2 > \lambda_3$ , and  $i = [(s+1)/2] + 1$ . If  $K$  satisfies one of the conditions in the cases (a), (b), and (d), then by an argument analogous to Step 2, we have

$$\sharp \mathcal{M}_A(M, B, K; p^{\lambda_1}) \equiv \sharp \mathcal{M}_A(M, B, K; p^{\lambda_1+1}) \equiv 0 \pmod{p^{\lceil s/2 \rceil - 1}}.$$

Moreover, if  $A \neq N_A(R)$ , then Eq. (6) holds in the cases (a), (b), and (d). Thus we may assume that  $A = N_A(R)$ . If  $|B : R| = p$  and  $M/R$  is abelian, then  $R$  satisfies the assumptions of Lemma 2.2. We conclude the proof with the assertion that  $M/R$  is abelian in each of the cases (a), (b), and (d). Recall that  $|A : M| = p^{\lambda_1}$ .

- (a) Assume that  $p^{\lambda_1+1} = p^{\lambda_1} \cdot |B : R| = |A : K|$ . We have  $|M/K| = p = |B/R|$ , whence  $M/R = KB/R$ . Since  $B/R \leq Z(A/R)$ , it follows that  $M/R$  is abelian.
- (b) Assume that  $p^{\lambda_1+2} = |A : K| \leq p^{\lambda_1} \cdot |N_M(K) : K| \leq p^{\lambda_1} \cdot |M : K| = p^{\lambda_1+2}$ . Then  $|M/K| = p^2$  and  $M = N_M(K)$ . Since  $M/B$  and  $M/K$  are abelian, it turns out that

$$[M/R, M/R] \leq B/R \cap K/R = R/R.$$

Thus  $M/R$  is abelian.

- (d) Assume that  $p^{\lambda_1+3} = |A : K| = p^{\lambda_1} \exp N_M(K)/K \leq p^{\lambda_1} |M : K| = p^{\lambda_1+3}$ . Then  $|M/K| = p^3$ ,  $M = N_M(K)$ , and  $M/K$  is a cyclic group of order  $p^3$ . Consequently,  $M/R$  is abelian.

This completes the proof.  $\square$

REMARK 3.4 Assume that  $\lambda_1 \geq \lambda_2 + \lambda_3 + \dots$ . Then  $\lambda_1 \geq [(s+1)/2]$ . If  $\lambda_1 \geq [(s+1)/2] + 1$ , then by Corollary 1.5,  $A$  admits  $\mathbf{C}(p^s)$ . If  $\lambda_1 = [(s+1)/2]$  and  $i$  is a positive integer less than or equal to  $[(s+1)/2]$ , then by Theorem 1.4,

$$m_A(qp^{i-1}) \equiv m_A(qp^i) \pmod{p^i}$$

for any positive integer  $q$  such that  $\gcd(p, q) = 1$ .

We are now in a position to prove an analogy of Theorem 1.6 stated at the beginning of this section.

**THEOREM 3.5** *Let  $A$  be a finite group and  $B$  a normal subgroup such that  $A/B$  is a finite abelian  $p$ -group of order  $p^s$ . Let  $\lambda = (\lambda_1, \lambda_2, \dots)$  be the type of  $A/B$ . If either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2$  and  $\lambda_3 = 0$ , then  $A$  admits  $\mathbf{C}(p^s)$ . If  $\lambda_2 = 2$ ,  $\lambda_3 = 1$ , and  $\lambda_1 \geq \lambda_2 + \lambda_3 + \dots$ , then  $A$  admits  $\mathbf{CP}(p^s)$ .*

*Proof.* Assume that either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2 > \lambda_3$  and  $\lambda_1 \geq \lambda_2 + \lambda_3 + \cdots$ . Then by Propositions 3.2 and 3.3 and Remark 3.4,  $A$  admits  $\mathbf{CP}(p^s)$ . Moreover, if either  $\lambda_2 \leq 1$  or  $\lambda_2 = 2$  and  $\lambda_3 = 0$ , then  $A$  satisfies the assumption of Proposition 3.1, whence  $A$  admits  $\mathbf{C}(p^s)$ . This completes the proof.  $\square$

*Proof of Theorem 1.6.* The assertions follow from Theorem 3.5 with  $B = A'$ .  $\square$

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