



# Non-destructive Leaf Area Index estimation via guided optical imaging for large scale greenhouse environments

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# Non-destructive Leaf Area Index estimation via guided optical imaging for large scale greenhouse environments

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## Abstract

This paper presents a financially viable and non-destructive rail-based video monitoring method that utilizes optical image segmentation to estimate the canopy leaf area index (*LAI*) of greenhouse tomato plants. The *LAI* is directly related to the time-dependent crop growth and indicates plant health and potential crop yields. A rail-guided mobile camera system was commissioned that records continuous images by scanning multiple rows of two tomato plant species for over two years. UNET semantic image segmentation of the individual image frames was performed to compute the relative leaf area over time. This study also describes the image annotation process necessary to train the neural network and evaluate the segmentation results. The results are calibrated and compared to the defoliation-based (destructive) *LAI* estimation performed by the grower. This UNET segmentation performs well, which is enabled through the controlled environment and the well-defined boundary conditions provided by the greenhouse environment and the managed measurement conditions. Our results deviate from the manual *LAI* estimation by less than ten percent. Further, we are able to minimize confusion between foreground and background plants and other obstructions with an estimated error smaller than three percent, which is strictly necessary to produce reproducible results.

*Keywords:* tomato, deep learning, *LAI*, greenhouse farming, agriculture

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## 1. Introduction

Recently, the management of large-scale greenhouse farming environments, as well as phenotyping, has attracted the interest of many machine learning and deep learning researchers. In general, modern machine learning methods are necessary to meet agricultural production challenges related to sustainability, food security, environmental conservation, and productivity [1, 2]. From a physical perspective, even a well-managed greenhouse ecosystem is complex and difficult to predict with many non-linear interrelationships arising from the chaotic nature of plants and the outside environment [3]. Phenotyping is critical in greenhouse environmental management for pest and disease detection and generally to monitor plants for predicting the development of dry yield.

In particular, when monitoring the general health of vines and optimizing the monthly fruit harvest yield, the Leaf Area Index ( $LAI$ ), is the main indicator for managing greenhouse environments and controlling defoliation [4, 5]. The plant canopy density and the general number of leaves affect the energy, hydration [6, 7], and overall  $CO_2$  balance within a greenhouse through transpiration [8]. Crop leaf growth strongly affects the assimilation capability of photosynthetically active radiation [9]. Furthermore, [10] showed that the fraction of light interception ( $I$ ) is connected to the  $LAI$  through the following power law:

$$I = 1 - e^{-kLAI}. \quad (1)$$

The  $LAI$  is a dimensionless quantity defined as the one-sided leaf area ( $A_L$ ) per reference area, where the reference area ( $A_R$ ) can be considered as a square hull (first-order convex hull) around the leaf.

$$LAI = A_L/A_R. \quad (2)$$

It should be noted that this definition is only valid for broad leaf canopies, as presented by [11] and can be more complex when including multiple leaf layers within the plant canopy [12]. This means that the  $LAI$  could be larger than one for staged and overlapping leaves, depending on the direction of the

27 incident light. Previous research has shown that the *LAI* is a comprehensive  
28 indicator of variations in environmental, biological, and plant-structural condi-  
29 tions. Because the time-dependent *LAI* reflects the  $CO_2$  content and material  
30 cycles in the plant canopy [3], one can use the time-dependent *LAI* to control  
31 plant characteristics, for example, plant height and fruit/plant growth, through  
32 defoliation [13].

33 Variations in the observed *LAI* value for one plant at a precise time, critically  
34 depend on the measurement method, environmental conditions, and theoretical  
35 completeness of the measurement approach. In principle, there are two groups  
36 of measurement methods: active/destructive and passive/non-destructive *LAI*  
37 measurements, many of which have recently been summarized by Popovic et.  
38 al. [14]. The most common and simplest method is active plant measurement  
39 by defoliation, which requires the grower to regularly remove all the leaves  
40 in a predetermined reference space and count the number of leafs and area  
41 (e.g., measuring the breadth and length of individual leaves) manually or by  
42 computer analysis, e.g., color [15, 16, 17] or otsu [18, 19] segmentation, etc.  
43 There are numerous non-destructive remote sensing approaches that depend  
44 on specific environmental conditions. They are either radio-based approaches,  
45 as elaborated by Orlando et al. [20] and Campos et al. [21], image-based  
46 approaches [11] or 3D sensing approaches such as photogrammetry [22] point-  
47 cloud based evaluation [23]. For most image-evaluating solutions, rule or deep  
48 learning-based segmentation techniques are used to separate the relative leaf  
49 area in the image from the background and other distractors. This is particularly  
50 a trend in hand-held applications, as found in [24, 25, 16], and [20], where  
51 either the relative leaf area (in pixels) or the leaf-to-leaf voids are calibrated  
52 to reflect the *LAI* amplitude. Computing *LAI* is limited by the precision and  
53 accuracy of the detection/segmentation method. However, it is seldom noted  
54 that reproducibility and precision are highly dependent on the quality of the  
55 segmentation routine, especially among the approaches that generally discount  
56 spatial and contextual considerations. In other words, camera position changes,  
57 field of view (FOV, parts of the plant that are imaged), confusion with other



58 plants, and distractors can greatly influence the observational accuracy.

59 Recently Fang et. al. [26, 27] have confirmed relatively high variations in  
60 reproducibility when estimating *LAI* in image based smartphone apps, which  
61 are most likely caused by environmental and plant-morphological changes over  
62 time and the limited environmental perception abilities of the individual app  
63 based segmentation routines. To improve the *LAI* estimation accuracy and  
64 reproducibility, it is necessary to establish a robust *LAI* measurement routine.  
65 Advanced deep learning based segmentation methods have great potential to  
66 improve segmentation accuracy [11, 28] and introduce environmental perception,  
67 which has been widely used in autonomous vehicle and drone research [29, 30,  
68 31, 32].

69 In this study, we present a novel approach to estimate the *LAI* of greenhouse  
70 grown vines of *Solanum lycopersicum* also known as the common Tomato plant.  
71 Our approach is non destructive, reproducible and produces results comparable  
72 to manual *LAI* estimation through defoliation, while being more efficient. The  
73 measurement method is optimized for large greenhouse facilities with long and  
74 narrow plant rows, where a camera (optical RGB) mounted on a rail wagon  
75 is scanning an entire row with *LAI* being computed from the neural network  
76 based segmentation map of the produced image data.

77 We estimate *LAI* by analyzing video frames captured using a rail-mounted  
78 camera system. In the next section, Methods and Materials, we introduce the  
79 Target greenhouse environment, and described how the reference data is ob-  
80 tained. The optical *LAI* measurement approach is introduced and explained in  
81 the section 2.2. The Results section compares this method with traditional *LAI*  
82 estimation through defoliation. Finally, general findings and the validity of the  
83 approach are discussed in section, Discussion and Conclusions.

## 84 2. Methods and Materials

### 85 2.1. Target greenhouse environment

86 This section introduces the target greenhouse environment and the technique  
87 used to determine  $LAI_{grower}$  as a reference for the non-destructive  $LAI$  mea-  
88 surement method. This research was conducted at the Air Water Greenhouse  
89 Complex in Chitose, Hokkaido, Japan.

90 Hokkaido is the northern-most of Japan’s islands and lies in a temperate  
91 climate zone. This is in strong contrast to the rest of Japan, which is classified  
92 as subtropical. More specifically, the area of central Hokkaido is classified as  
93 plant hardiness zone 5, which means temperatures in winter can reach values  
94 below  $-20^{\circ}\text{C}$  and are usually not above  $30^{\circ}\text{C}$  in summer . Therefore, vines,  
95 such as tomatoes, planted in greenhouse environments are usually grown during  
96 summer, starting in February and ending in November.

97 The target greenhouse covers an area of 4 ha (40,000  $\text{m}^2$ ) containing approx-  
98 imately 50,000 tomato plants. In this study, the  $LAI$  is evaluated for the mini-  
99 tomato bearing daltary and mid-size tomato bearing tomimaru species. Until  
100 the development of the proposed deep learning-based procedure, the grower  
101 estimated the  $LAI$  by partially defoliating the canopy of a predefined set of  
102 reference plants. In this paper, this method of  $LAI$  measurement is termed  
103 grower  $LAI$  ( $LAI_{grower}$ ). The  $LAI_{grower}$  estimation for both tomato species  
104 was performed by measuring the leaf attributes of four reference tomato plants  
105 in the center of the tomato greenhouse and computing the  $LAI$  as follows:

$$LAI_{grower} = \alpha_{D|T} \times S_L \times N_L \quad (3)$$

106 where  $S_L$  is the area of the square enclosing a single leaf, computed as the  
107 product of the leaf width  $w_L$  the leaf height  $h_L$  in  $\text{m}^2$ .  $N_L$ : Nr. of Leaves  
108 per  $\text{m}^2$ ,  $\alpha$ : The species-dependent absorption coefficient, which depends on the  
109 leaf shape. This value is provided by the distributor of the tomato seeds and

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<sup>1</sup>Chitose climate according to weather park (<https://ja.weatherspark.com>)

110 presents the mean fraction of the leaf area to its enclosing square computed by  
 111 the leaf length and width. It roughly accounts for the complex shape of each  
 112 leaf, from which the average leaf area ( $A_L$ ) can be computed as follows:

$$A_L = \alpha_{D|T} \times \sum_n \frac{w_{Ln} \times h_{Ln}}{N_L} = \alpha_{D|T} \times S_L. \quad (4)$$

113 The values for  $\alpha$  for tomimaru and daltary are  $\alpha_T = 0.620$  and  $\alpha_D = 0.618$ ,  
 114 respectively. The different values of  $\alpha$  for tomimaru and daltary are the result  
 115 of the slightly varying leaf morphology of the two tomato species. It should be  
 116 noted that only the leaves of the upper branches of every plant were considered  
 117 in this study.

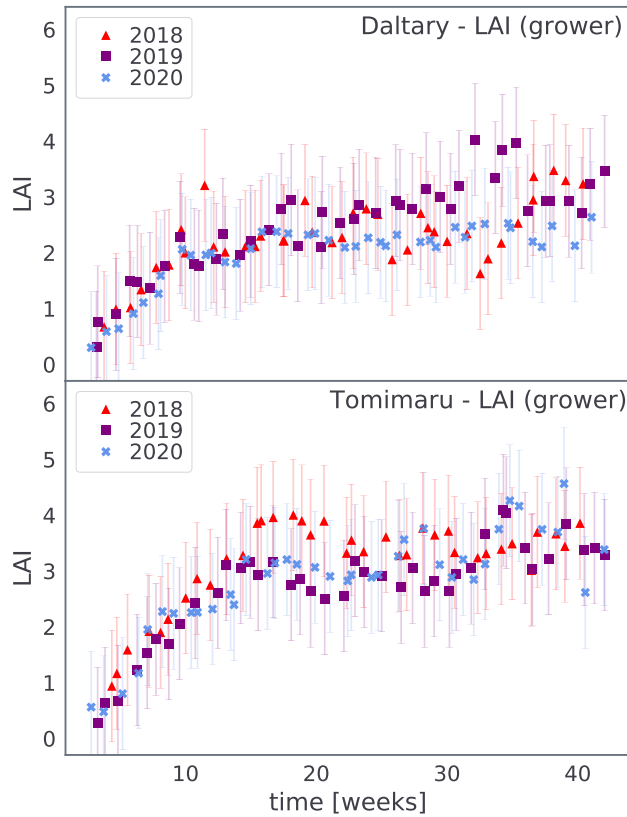


Figure 1: Grower  $LAI$  for both tomato species, daltary (top) and tomimaru (bottom) for the years 2017 to 2020.

118 The  $LAI_{grower}$  evolution from 2017 to 2020 is presented in Figure 1. It  
119 should be noted that the uncertainties of each LAI measurement were rela-  
120 tively high because of the reference plants were only partially defoliated and  
121 the fact that there is only a small ensemble of reference plants used in this  
122 study. The seedlings were planted out in cultivation lines, which were spaced  
123 approximately 1.2 meters apart. The canopy tip was held by a guide string to  
124 secure and displace the plant canopy during the maturity process. Displace-  
125 ment in combination with defoliation below the fruit line is necessary to keep  
126 the canopy and fruit at a constant height, which is convenient for harvesting,  
127 plant management and the UNET-based  $LAI$  estimation approach, which is  
128 discussed in the next section.

129 *2.2. Optical LAI estimation (through UNET)*

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<sup>2</sup>Throughout this paper, the week date is referenced as the ISO week number.

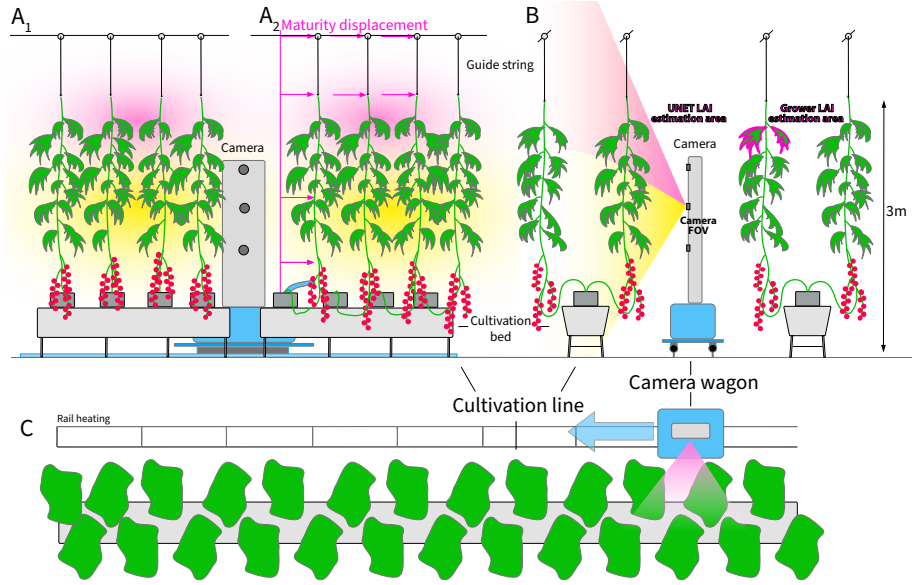


Figure 2: Data collection environment and cultivation line. Illustration of the cultivation line with young tomato plants ( $A_1$ ), mature tomato plants right ( $A_2$ ), and camera car on the back. Canopies are kept vertically in place because of the regular defoliation and displacement of the guide string. B: side view of the cultivation line with the camera car and the camera's FOV indicator. The areas used to estimate  $LAI_{grower}$  and the proposed UNET approach are indicated in pink. C: Top view of the cultivation line with camera car's direction of travel. The rail is used to guide the camera car as well as for heating in spring and fall.

131 This section describes our non-destructive  $LAI$  estimation approach as well  
 132 as the data processing, calibration, and evaluation procedures. The proposed  
 133  $LAI$  estimation approach relies on a moving camera system, which scans an  
 134 entire tomato plant row and creates a relatively large number of frames for  
 135 various tomato plants from various angles using a large FOV. A camera with  
 136 a constant elevation is mounted on a rail wagon and used to scan one row of  
 137 tomato plants, as presented in Figure 2.

138 The camera is at a constant distance from the tomato row and has a con-

139 stantly wide spherical FOV to keep more than 90% of the canopy within the  
 140 FOV. Furthermore, all the tomato rows in the greenhouse had approximately  
 141 the same width and height, with all of them filling a similar cylindrical volume.  
 142 The elevation angle of the lens is zero. Automatic exposure compensation used  
 143 with an exposure time range between (0.0002 - 0.001)s. The running velocity  
 144 is predetermined by the manufacturer. The camera captured video frames at  
 145 an adequate rate of 10 fps, while the wagon traverses the rail at a constant  
 146 velocity of approximately  $v = 0.2m/s$ . Further, we have confirmed the veloc-  
 147 ity through independent distance and time measurements. Owing to the wide  
 148 lens angle, plant images are captured from multiple perspectives. In principle,  
 149 this improves the differentiation between the tomato plant rows in the front  
 150 and those in the background because the rows traverse the FOV with different  
 151 relative velocities relative to the wagon.

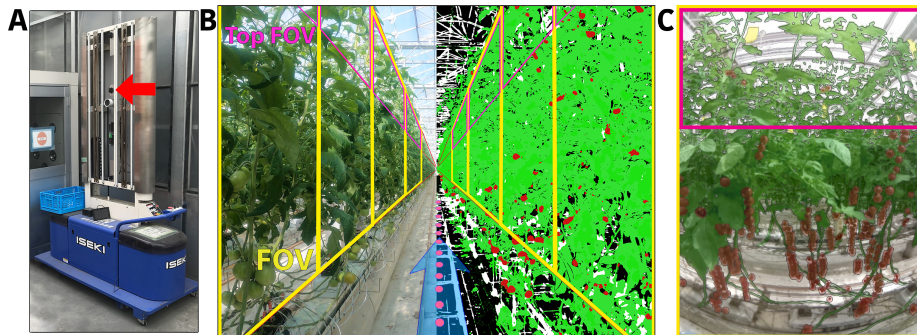


Figure 3: A: Camera mounted wagon: The camera is indicated by the red arrow. B: Image of greenhouse tomato row with actual image (left) and annotation overlay (right) with background (black), building structure (white), plant (green), and tomato (red). C: The tomato row image as observed by using the annotation overlay. The yellow frame indicates the FOV of the image. The pink frame indicates the area in which  $LAI_{grower}$  is measured.

152 The image capturing procedure is demonstrated in Figure 3, where image A  
 153 shows the camera-mounted wagon, and image B is the tomato plant row (left)  
 154 with the UNET segmentation overlay (right) and the frame FOV (yellow and  
 155 pink outlines). Image C shows the plant row, as seen by the camera system. In

156 this project, the full FOV (yellow) and the upper one-third of the field of view  
 157 (pink) were evaluated. This is necessary to calibrate and compare the result  
 158 based on the upper canopy FOV to  $LAI_{grower}$  and because the  $LAI_{grower}$  data  
 159 could be obtained for only the upper canopy containing the top branch of the  
 160 tomato plant, as presented in Figure 2 B.

### 161 2.2.2. Data processing overview

162 A general map of how the time-dependent  $LAI$  was estimated from the video  
 163 data is presented in Figure 4. The proposed method relies on two procedures: 1)  
 164 image segmentation and 2) calibration to compute the  $LAI$  from the projected  
 165 leaf area. The assumption that the  $LAI$  can be sufficiently estimated from the  
 166 projected leaf area observed by the camera is critical for justifying the proposed  
 167 approach and is elaborated in the following section.

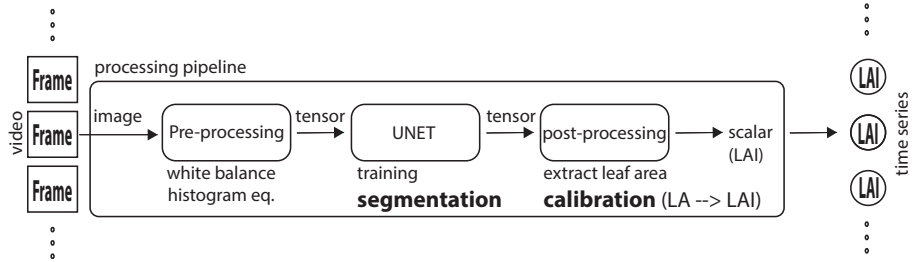


Figure 4: Data processing pipeline. Illustration of how the  $LAI$  time-series data are extracted from the image data.

### 168 2.2.3. $LAI$ calibration

169 Owing to the non-destructive nature of the proposed sensing approach and  
 170 the complex three-dimensional morphology of the canopy, the absolute value for  
 171 the  $LAI$  cannot be computed directly. Therefore, the time-dependent variability  
 172 in the  $LAI$  can only be obtained by assuming that the obtained relative leaf  
 173 area ( $A_L$ ) is proportional to the  $LAI$  in time and space.

$$\frac{dLAI(t)}{dt} = \frac{dA_L(\vec{r}, t)}{dt} \quad (5)$$

174 where  $\vec{r}$  represents the spatial vector from which the canopy is observed, and  
 175  $A_L$  is the fraction defined by the ratio of pixels containing leaves to the total  
 176 number of pixels in the image. Because the FOV of the camera is sufficiently  
 177 large to ensure that the entire canopy is within the FOV at any time  $t$ , a  
 178 linear relationship between  $A_L$  and the  $LAI$  can be assumed, introducing a  
 179 gain calibration ( $g$ ) as follows:

$$LAI(t) = g \times A_L(t) \quad (6)$$

180 The expected gain amplitude can be determined simply by computing  $g$  for  
 181 a randomly selected set of times  $T$  as follows:

$$\bar{g} = \sum_t^T \frac{LAI(t)}{A_L(t)} / T \quad (7)$$

182 Furthermore, the standard deviation of  $g$  can provide a measure of the extent  
 183 to which the trend of  $A_L$  deviates from the directly measured trend of the  $LAI$ .  
 184 To obtain a good estimation of  $A_L$ , each image was segmented for deep learning  
 185 and the number of pixels associated with  $A_L$  was computed as presented in the  
 186 next section.

#### 187 *2.2.4. Deep learning based image segmentation*

188 There are several semantic segmentation models of which the most prominent  
 189 are the encoder-decoder convolutional neural networks such as Inverse Hour  
 190 Glass and UNET. These have been widely discussed over the last decade and are  
 191 well utilized in the fields of medical and microbiological research [33, 34, 35, 33].  
 192 Trained Encoder-decoder network models fixed to the image resolution and its  
 193 multiples. However the results generated by those networks are generally do not  
 194 dependent on the resolution of the input image, as long as it fits into the model  
 195 and as long as it is resized to match the size of the training images. However,  
 196 the individual feature scale within the image needs to be preserved. This is  
 197 favorable for our approach, since the feature size within the image das not vary  
 198 strongly. A costume UNET model was constructed using PyTorch [36] and used



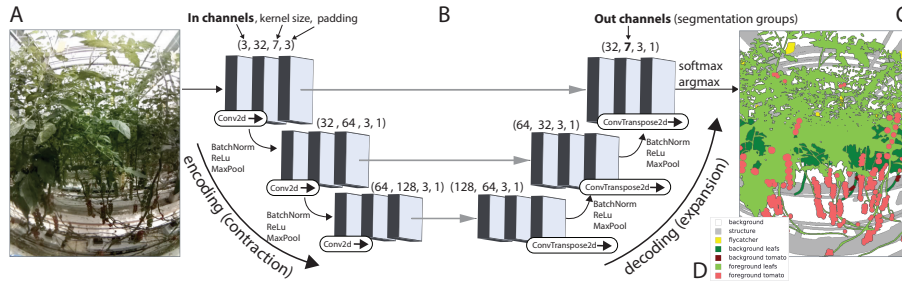


Figure 5: Image segmentation procedure. A: Three-channel input image. B: UNET neural network with three contraction/expansion layers for training and inference. C: Output mask containing seven segmentation groups labeled in D.

199 to performed semantic segmentation to resolve the seven segmentation groups,  
 200 as presented in Figure 5 D. The UNET model consists of three contraction and  
 201 expansion blocks with dimensions ranging from 32 to 128<sup>1</sup>.

202 The input consists of a  $3 \times 640 \times 640$  (RGBxXxY) image tensor, which is  
 203 normalized to contain float32 values between zero and one. The trained model  
 204 returns a tensor with dimensions of  $(640 \times 640 \times 7)$  containing seven intensity  
 205 maps (one for each group). Using the softmax function, the intensity maps are  
 206 then converted into a probability map from which the resulting annotation mask  
 207 is computed using the argmax function. Of the seven index groups, only the  
 208 index groups describing the background and foreground leaf area were strictly  
 209 necessary. Confusion between leaves and other objects is increased when only  
 210 a limited number of annotation samples are available and can be avoided by  
 211 increasing the number of annotation groups at the cost of requiring increased  
 212 training (in the form of time and computational resources).

<sup>1</sup>Further information regarding the UNET model and the data processing approach are available through the github repository [https://github.com/StefanBaar/LAI\\_network](https://github.com/StefanBaar/LAI_network).

### 213 **3. Data analysis and Results**

214 This section describes the automated data preparation, analysis, and post-  
215 processing pipeline presented in Figure 4, as well as the annotation procedure  
216 and the results. The video data consists of compressed mp4 files with an average  
217 length of approximately two thousand frames recorded at ten fps. Each video  
218 frame has been white balance corrected based on the color information of the  
219 highlights (pixels within a five-pixel radius around saturated pixels) of the upper  
220 third of each frame. Furthermore, histogram equalization was performed using  
221 99% of the RGB histogram. The preprocessed images were then segmented  
222 into seven annotation groups and the number of pixels associated with the leaf  
223 area for each frame was saved. Next, the annotation process is described; it  
224 produces the annotation groups and their pixel-based locations on which the  
225 model is trained.

#### 226 *3.1. Annotation and augmentation*

227 Pixel-based annotations were prepared using Adobe Photoshop because it is  
228 easy to use and supports drawing tablets. One layer was used for each group  
229 in addition to the original image used as the first layer in the layer stack. An-  
230 notation examples are presented in Figure 6, where the raw images are shown  
231 side-by-side with their respective annotations. Routines were prepared to con-  
232 vert psd files into Pytorch tensors using the Python library psd-tools [37]. Two  
233 hundred annotations were prepared that contained randomly selected pseudo  
234 images from the individual tomato datasets. The images and annotations were  
235 augmented using a random crop (70%-100% image area), random rotation, and  
236 random horizontal spatial flipping. The training data set was inflated to 10k  
237 images through spatial augmentation using the scipy [38], scikit-image [39], and  
238 PyTorch [36] sub-modules, as well as costume functions. Furthermore, on-the-fly  
239 random augmentations were performed during training using random brightness  
240 adjustment ( $\pm 20\%$ ), random histogram equalization (0% - 2%), and a random  
241 color jitter (amplitude:  $\pm 10\%$ ).

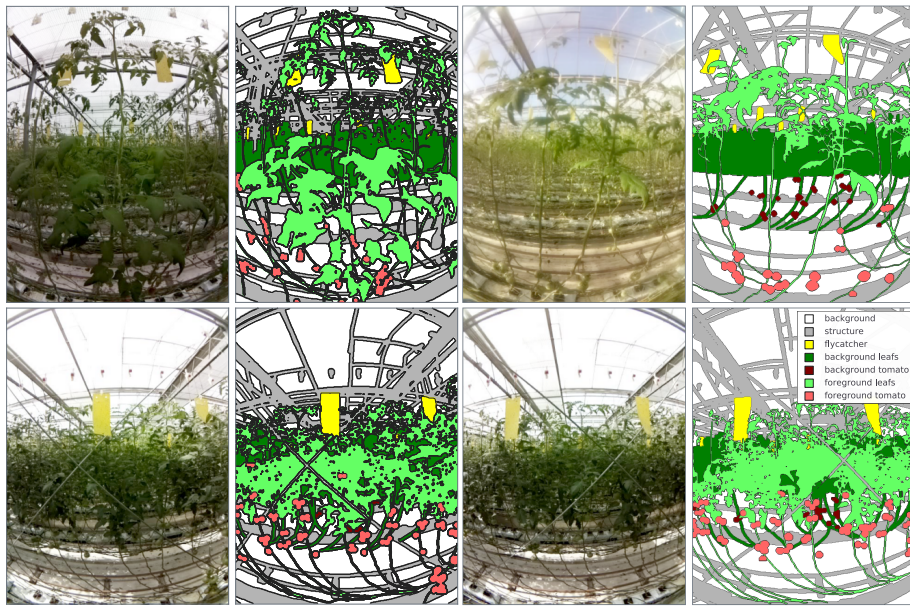


Figure 6: Annotation overview. Four annotation examples with each pre-processed (histogram equalized and white balanced) raw image on the left and the representative annotations on the right.

242 *3.2. Training*

243 The augmented dataset was divided into equally sized training and evaluation  
 244 datasets. The network was trained using the ADAPtive Moment (ADAM)  
 245 estimation optimizer to compute the gradient descent and update the weights  
 246 of the UNET model during training. ADAM was chosen because it is gen-  
 247 erally known for its computational efficiency, minimal memory requirements,  
 248 appropriateness for noisy and sparse gradients, and that it is well implemented  
 249 in PyTorch [36]. As a loss function, PyTorch implementation was used for  
 250 cross-entropy loss. A variable learning rate was used depending on the training  
 251 progress,  $lr = (0.003, 0.002, 0.001, 0.0008)$  and the network was trained for 550  
 252 epochs with a batch size of 40. Training was implemented in parallel over four  
 253 NVIDIA GTX 1080Ti GPUs until the training and validation errors reached  
 254 values below 10% and 20%, respectively, for at least 10 epochs, as presented in  
 255 Figure 7.

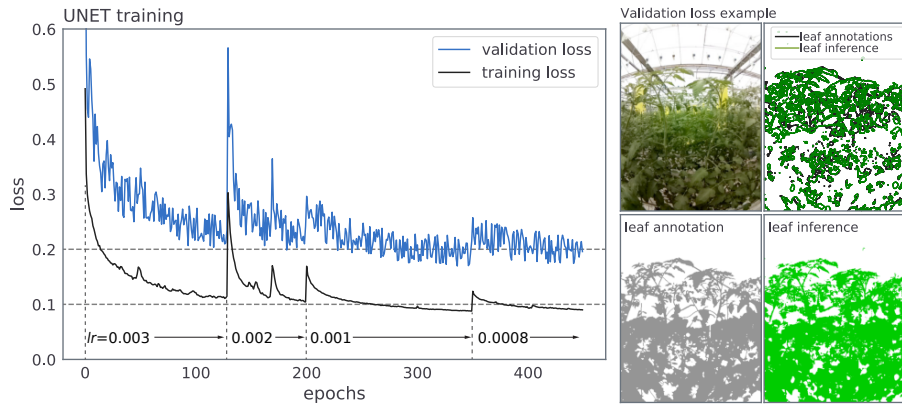


Figure 7: Left: training and validation loss for each epoch. Right: Image example comparing leaf annotation and leaf inference.

256 *3.3. Results*

257 This section presents the evaluation of the *LAI* estimation of mini-tomato  
 258 bearing daltary and mid-size tomato bearing tomimaru plants from May 2019

259 to July 2021 and the results are compared to a defoliation-based  $LAI$  measuring  
 260 approach conducted by the grower. Both the relative leaf area  $A_L$  and  $LAI_{grower}$   
 261 were measured for the upper one-third of the FOV covering two types of tomato  
 262 plants, as presented in Figure 3 B. A number of segmentation results produced  
 263 by inference is shown in Figure 8.

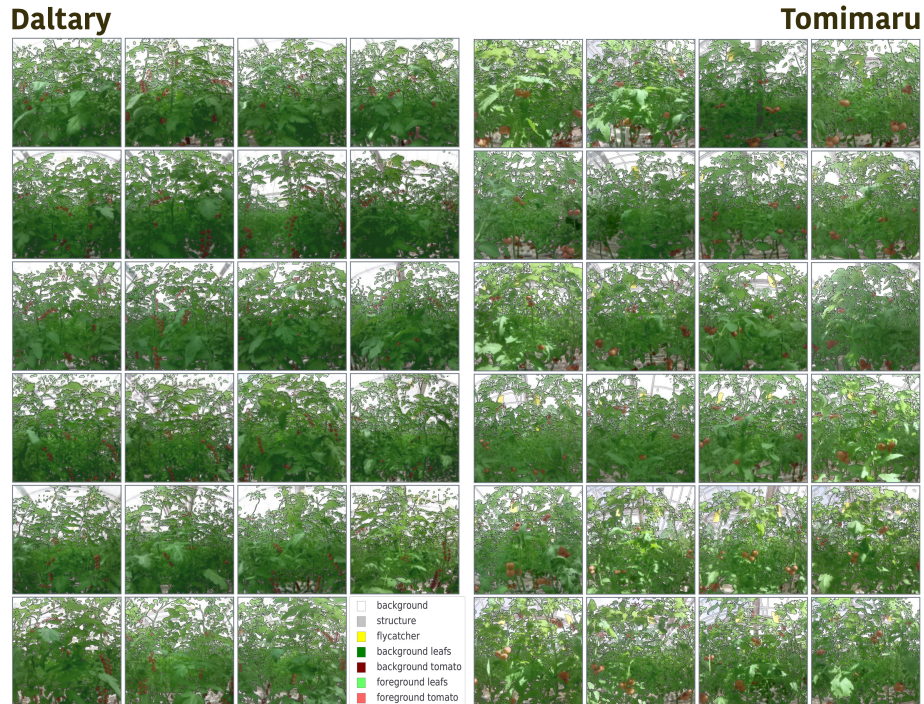


Figure 8: Segmentation overview: Forty-seven segmentation examples created via the inference of raw images with the proposed UNET model for the tomato species daltary (left) and tomimaru (right). Each example contains a pre-processed (histogram equalized and white balanced) raw image, as well as a superimposed annotation mask.

264 We present the correlation between inference and annotation area of fore-  
 265 ground and background leaves (as fraction of image area) in Figure refforeback for  
 266 the validation dataset of the individual tomato species and their combination.

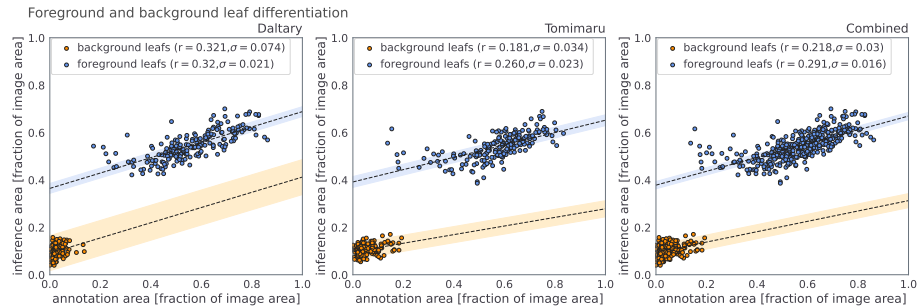


Figure 9: Confidence of inferred background and foreground area in relation to their respective annotation areas for two hundred images of daltary (left), tomimaru (center), and both species combined (right).

267 The figure shows, that for both species, foreground and background are well  
 268 differentiated. Also the standard deviation  $\sigma$  is small, which hints towards  
 269 a strong proportionality between between inference and annotation area. As  
 270 expected, the correlation coefficient ( $r$ ) is relatively low, due to the fact that  
 271 annotation area is determined by the perimeter of each annotation group. One  
 272 might note from the right hand side of Figure 7, that while the general mor-  
 273 phology of the inference map is very similar to the annotation map, the residual  
 274 between the two maps is not zero. This is manly due to annotation offset and  
 275 is not problematic for our approach. This is because, we do not consider the  
 276 absolute area, but the relative area, which is highly correlated between annota-  
 277 tion and inference maps, indicated by a small  $\sigma < 0.03$  for the foreground leaf  
 278 map. While the foreground leaf area is used to estimate  $LAI$ , the background  
 279 leaf area is omitted. Its purpose lies solely in eliminating false positives.

280 As previously mentioned, only the relative leaf area that is proportional to  
 281 the  $LAI$  can be measured by gain amplitude  $g(t)$ , which is computed using the  
 282 relative leaf area and  $LAI_{grower}$  of the year 2019. The time variation of  $g(t)$  for  
 283 the two tomato species is shown in Figure 10.

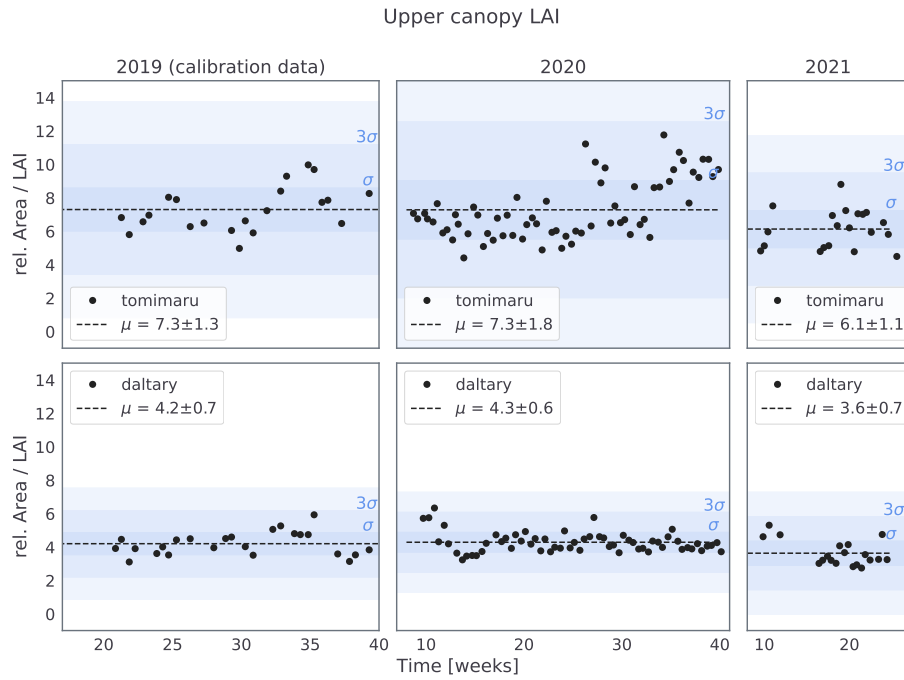


Figure 10: Gain calibration amplitude (relative leaf area/grower  $LAI$ ) for the tomimaru (top) and daltary (bottom). The dashed line denotes the mean calibration amplitude. The bars denote the variability of the measurements.

284 The mean of the time-dependent  $g$  was computed to calibrate the UNET  
 285  $LAI$  for the years 2020 and 2021 for the upper third of the FOV, as presented in  
 286 Figure 11. The deviation between the UNET-based and defoliation-based  $LAI$   
 287 estimation method was computed to be less than ten percent. The different  
 288 gain amplitudes for tomimaru and daltary arise from their different leaf shapes.



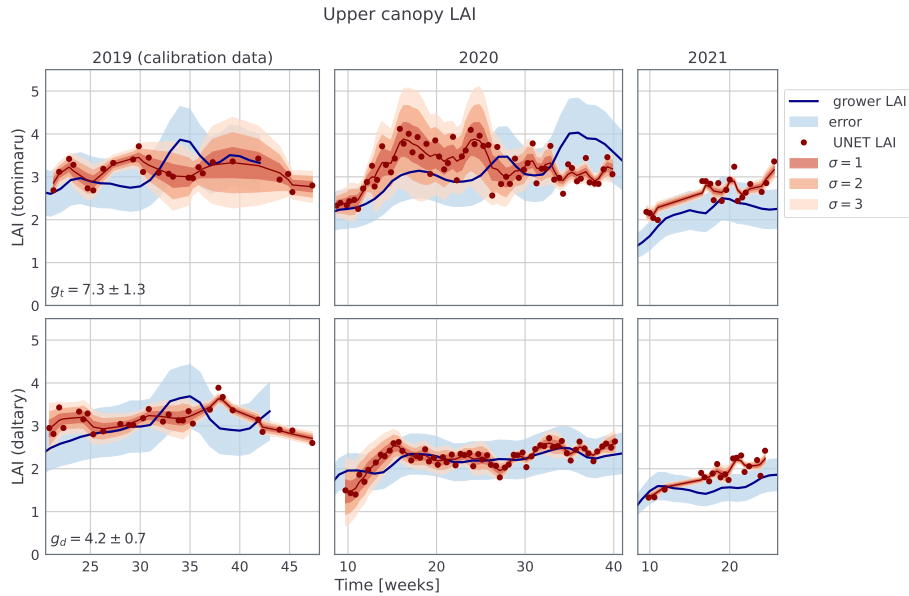


Figure 11: The **LAI of the upper plant canopy** determined by the grower (blue line) and computed through the UNET semantic segmentation (red dots) with its time averaged spline interpolation (red line) for the times span between May 2019 and June 2021. The variability  $\sigma$  of the measurement data (2000 segmentation frames per observation) in shades of red.

289 The *LAI*s for the tomimaru and daltary tomato plants were estimated from  
 290 the gain correction amplitude  $g$  (computed from the upper FOV and the rela-  
 291 tive leaf area of the entire FOV). Figure 12 presents the average per plant *LAI*  
 292 time evolution. The full canopy *LAI* data cannot be directly compared because  
 293 defoliation-based *LAI* measurements could not be made for the entire plant  
 294 canopy since it would have been time-consuming and labor-intensive. However,  
 295 the general trend is as expected by the grower and exhibits a somewhat flat-  
 296 ter tendency when compared to the upper canopy *LAI*. This is because the  
 297 branches below the fruit line are pruned regularly, which causes only a slight  
 298 variance in canopy leaf numbers over time.



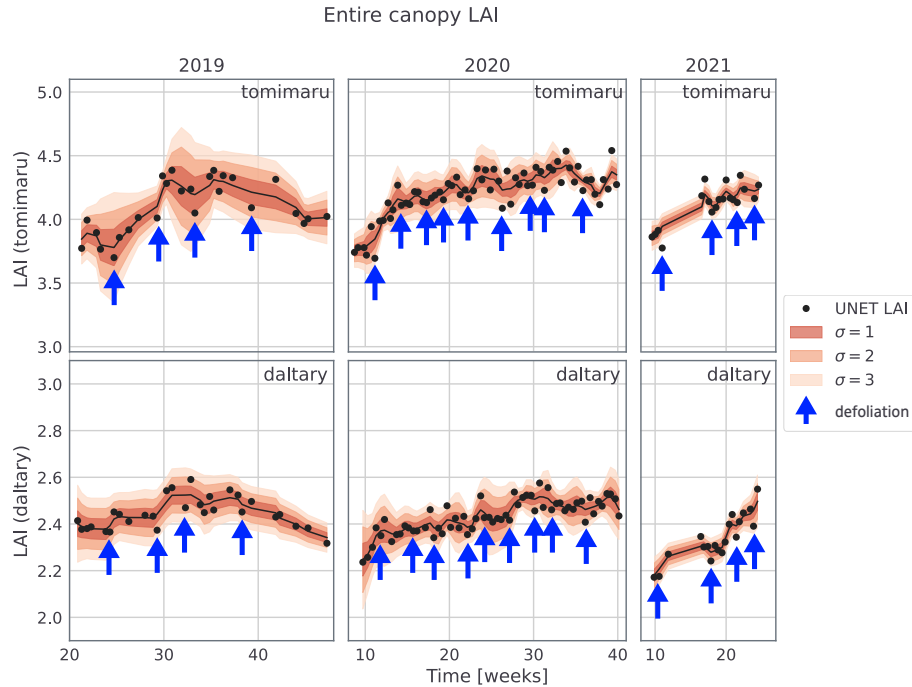


Figure 12: The **LAI of the entire plant canopy** computed with UNET semantic segmentation (black dots) with its time averaged spline interpolation (black line) for the period May 2019 to June 2021. The variability  $\sigma$  of the measurement data (2000 segmentation frames per observation) in shades of red. Defoliation instances are annotated with blue arrows.

299 The *LAI* estimations for the upper canopy 11 and the entire canopy 12 are  
 300 not directly comparable because the entire canopy *LAI* estimation evaluates a  
 301 much larger FOV, as presented in Figure 3, which leads to smaller variations  
 302 within a single observation.

#### 303 4. Discussion

304 The pairing of an automated quasi-static optical monitoring apparatus with  
 305 modern image segmentation routines, such as those used in this study, is very  
 306 accurate in determining variations in the *LAI* over time for complex vine struc-  
 307 tures, such as tomato plants. This approach is highly suited for industrial appli-

308 cations in greenhouse environments where plants are well aligned and managed.  
309 While the background and possible distractors can be sufficiently distinguished  
310 from the objects of interest and the training and reference loss is small enough  
311 ( $<0.2$  and  $0.1$ , respectively), a discussion about the accuracy of various seg-  
312 mentation algorithms appears to be unnecessary. This is because the general  
313 definition of the  $LAI$  is ambiguous and modern segmentation routines are suf-  
314 ficiently advanced.

315     Regarding the training and validation losses (as reported in section 3.2), it  
316 must be mentioned that complex plant images, as used in this study, are very  
317 difficult to annotate precisely. Further, the most challenging task is the differ-  
318 entiation between plants in the foreground (used to compute  $LAI$ ) and plants in  
319 the background. This is because texture, lighting conditions and morphology  
320 are often similar. However, to estimate  $LAI$ , determining the exact segmen-  
321 tation morphology is not necessary, but needs to be only precise enough to  
322 reflect the projected difference in leaf area. The variation of the segmentation  
323 area of the foreground leafs is smaller than three percent as presented in Figure  
324 9. This is mainly because the foreground and background plants cannot always  
325 correctly be distinguished by the annotator. Therefore, the annotation quality  
326 (but not the segmentation quality) is highly dependent on the image detail,  
327 which is affected by the camera lens, sensor resolution, and lighting conditions.

328     In addition, one must consider that the baseline ( $LAI_{grower}$ ) is fuzzy with  
329 high uncertainty. This is because computing the exact  $LAI$  for one tomato plant  
330 would require exact knowledge of the number of leaves and leaf area. In general,  
331 plant growth within greenhouse is mostly homogenous. However, it is possible  
332 that some plants growth diverges from that of the reference plants. This is the  
333 case in the for tomimaru plants grown during the year 2020 of our observation.  
334 Here, the reference plants used by the grower exhibited different  $LAI$  (lower until  
335 week 25, and higher from there on) than the rest of the greenhouse plants. This  
336 caused the grower to falsely estimate the amount of defoliation, resulting in an  
337 feedback loop of rising  $LAI$  for upper canopy. However, for all the observations  
338 of daltary and the remaining observations of tomimaru are in agreement with the

339 upper canopy estimations of  $LAI_{grower}$ . Further, the LAI evolution, computed  
340 from evaluation the entire canopy is in agreement with expectations for tomato  
341 in an controlled greenhouse environment [13, 40]. Also, amplitude dips within  
342 the LAI evolution are in alignment with defoliation dates (Figure 12).

## 343 5. Conclusion

344 For non-quasi-stationary approaches (such as smartphone applications as  
345 well as other mobile solutions [24, 25, 16]), it is imperative to evaluate and un-  
346 derstand plant morphology as well as the environment. The proposed approach  
347 does not require to perceive the exact plant and environment morphology, be-  
348 cause, in the captured data, the plants and the environment are dimensionally  
349 and spatially homogeneous. This is ensured by the camera always traveling  
350 along the same path and covering the entire plant row.

351 The approach proposed in this study is more labor efficient than estimating  
352 the  $LAI$  manually but less efficient than a set of stationary cameras because, for  
353 the proposed approach, the robotic camera system must be maintained and ob-  
354 served during measurement. The authors believe that the degree of perception  
355 in this and more usual approaches (e.g., hand-held devices and sets of station-  
356 ary cameras) could be improved by computing plant postures similarly to the  
357 human and hand posture estimations presented by Bazarevsky et. al. ([41, 42]  
358 and Liu et. al. [43]. From the plant posture, one could more precisely differen-  
359 tiate between foreground and background vines and also obtain higher spatial  
360 awareness.

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